



DATA CONTROLLER TO DATA CONTROLLER AGREEMENT

DEFINITIONS

Council Data: all **Data** comprised in the Council **Data** from time to time other than the Council **Data**

Data Controller: has the meaning set out in Article 4(7) of the GDPR & UK GDPR and Section 3 of the DPA.

Data Processor: has the meaning set out in Article 4 (1) of the GDPR & UK GDPR and Section 3 of the DPA.

DPA: The Data Protection Act 2018, effective 25 May 2018

Data Subject: a living individual who is the subject of Personal Data.

Data: any **data** or information, in whatever form, including images, still and moving, and sound recordings, the provision of which comprises the Services (wholly or in part), including any personal **data**

European Economic Area: means the territories of the member states of the European Union.

GDPR: The General Data Protection Regulation, effective 25 May 2018.

UK GDPR: The UK variant of GDPR (minor changes), enforcement effective 01 January 2021.

ICO: The Information Commissioners Office-UK national regulator for Data Protection compliance

Joint Data Controller: has the meaning set out in Article 26 of the GDPR & UK GDPR.

Personal Data: has the meaning set out in Article 4(1) of the GDPR & UK GDPR and Section 3 of the DPA and relates only to personal data, or any part of such personal data, of which the Council is the Data Controller and in relation to which the Service Provider is providing services under this Agreement.

Processing and processor: have the meaning set out in Articles 4 (2) & (8) of the GDPR & UK GDPR and Section 3 of the DPA.

Special Category Personal Data-Formerly termed "Sensitive Personal Data", now has the meaning set out in Article 9(1) of the GDPR & UK GDPR

CLAUSES

1. OBLIGATIONS OF THE SERVICE PROVIDER AND THE COUNCIL

1.1 The Council and the Service Provider acknowledge that for the purposes of the DPA & GDPR & UK GDPR, the Council and the Service Provider are Joint Data Controllers in the processing of any Personal Data and Special Category Personal Data.

1.2 The Service Provider and the Council shall process the Personal Data and Special Category Personal Data only to the extent, and in such a manner, as is necessary for the purposes specified in the Provider Agreement 2018 and in accordance with the Council's instructions from time to time and shall not process the Personal Data and Special Category Personal Data for any other purpose. The Service Provider and the Council will keep a record of any processing of personal data carried out. The Service provider and the Council shall also provide assurance that they are taking steps to comply with the requirements of the DPA & GDPR & UK GDPR with regard to their processing of Personal Data and Special Category Personal Data.

- 1.3 The Service Provider and the Council shall promptly comply with any request from the other party to amend, transfer or delete the Personal Data and Special Category Personal Data at its own expense.
- 1.4 If either party receives (**'Receiving Party'**) any complaint, notice or communication which relates directly or indirectly to the processing of the Personal Data and Special Category Personal Data or to either party's compliance with the DPA & GDPR & UK GDPR and the data protection principles set out therein, it shall promptly and in any event within three working days of the Service Provider becoming aware notify the other party and it shall provide the other party with full co-operation and assistance in relation to any such complaint, notice or communication. Both parties also undertake to investigate and manage breaches of the DPA & GDPR & UK GDPR.
- 1.5 At either party's request ('Requesting Party') the other party ('Receiving Party') shall at the Receiving Party's cost provide to the Requesting Party a copy of all Personal Data and Special Category Personal Data held by it in the format and on the media reasonably specified by the Requesting Party.
- 1.6 Neither party shall transfer the Personal Data and Special Category Personal Data outside the European Economic Area without the prior written consent of the other party, such consent not to be unreasonably withheld.
- 1.7 In the event that one party requests ('Requesting Party') and the other party agrees ('Agreeing Party') in accordance with clause 1.6 that Personal Data and Special Category Personal Data can be transferred outside the European Economic Area the Requesting Party will not enter in to an agreement in relation to such transfer of information prior to any information being so transferred on terms to be provided by the Agreeing Party.
- 1.8 Prior to any agreement being signed and acted upon, the requesting party shall evidence to the agreeing party that any proposed transfer meets the requirements contained in Articles 44-49 of the GDPR & UK GDPR.
- 1.9 Each party shall promptly inform the other party if any Personal Data and Special Category Personal Data is lost or destroyed or becomes damaged, corrupted, or unusable. Each party will restore such Personal Data and Special Category Personal Data at its own expense.
- 1.10 Both parties shall comply with the Privacy and Electronic Communications Regulations 2003 and all relevant statutory and non-statutory guidance where acting as Data Processor of all Personal Data and Special Category Personal Data as dealt with under the Agreement.
- 1.11 The Service Provider shall:
- (a) only make copies of the Council Data to the extent reasonably necessary for the Business Purpose (which, for clarity, includes back-up, mirroring (and similar availability enhancement techniques), security, disaster recovery and testing of the Council Data);
 - (b) not extract, re-utilise, use, exploit, redistribute, re-disseminate, copy or store the Council Data other than for the Business Purpose; and
 - (c) not do anything that may materially damage the reputation of the Council r.
- 1.12 Both parties shall take reasonable steps to ensure the reliability of all its employees who have access to the Council Data (PD).

2. SERVICE PROVIDER'S EMPLOYEES

- 2.1 The Service Provider shall ensure that access to the Personal Data is limited to:
- (a) those employees who have been appropriately trained in Data Protection and who need access to the Personal Data and Special Category Personal Data to meet the Service Provider's obligations under this Agreement; and
 - (b) in the case of any access by any employee, such part or parts of the Personal Data and Special Category Personal Data as is strictly necessary for performance of that employee's duties.
- 2.2 The Service Provider shall ensure that all employees:
- (a) Are informed of the confidential nature of the Personal Data;
 - (b) Have undertaken training in the laws relating to handling personal data; and
 - (c) Are aware both of the Service Provider's duties and their personal duties and obligations under such laws and this Agreement.
- 2.3 The Service Provider shall take reasonable steps to ensure the reliability of any of the Service Provider's employees who have access to the Personal Data.

3. RIGHTS OF THE DATA SUBJECT

- 3.1 Either party shall notify the other within two working days if it receives a request from a Data Subject for access to that person's Personal Data held by the other party.
- 3.2 Both parties shall fully co-operate with each other and provide assistance in relation to any request made by a Data Subject exercising their rights under the provisions of Articles 12-22 of the GDPR & UK GDPR
- 3.3 The Service Provider shall not disclose the Personal Data to a third party other than at the request of the Council or as provided for in this Agreement.

4. RIGHTS OF THE COUNCIL

- 4.1 The Council is entitled, on giving at least three days' notice to the Service Provider, to inspect or appoint representatives to inspect all facilities, equipment, documents and electronic data relating to the processing of Personal Data and Special Category Personal Data by the Service Provider.
- 4.2 The requirement under clause 4.1 to give notice will not apply if the Council believes that the Service Provider is in breach of any of its obligations under this Agreement.

5. WARRANTIES

- 5.1 The Service Provider warrants that:
- (a) it will process the Personal Data and Special Category Personal Data in compliance with all applicable laws, enactments, regulations, orders, standards and other similar instruments; and

- (b) it will take appropriate technical and organisational measures against the unauthorised or unlawful processing of personal data and against the accidental loss or destruction of, or damage to, personal data and Special Category Personal Data to ensure the Council's compliance with the sixth data protection principle.

5.2 The Service Provider shall notify the Council promptly and in any event within two working days of the Service Provider becoming aware if it becomes aware of:

- (a) any unauthorised or unlawful processing, loss of, damage to or destruction of the Personal Data and Special Category Personal Data;
- (b) any advance in technology and methods of working which mean that the Council should revise the security measures set out in 5.1(b).
- (c) any intention by the Service Provider to self-report a breach to the ICO.

6. INDEMNITY

6.1 The Service Provider agrees to indemnify and keep indemnified and defend at its own expense the Council against all costs, claims, damages or expenses incurred by the Council or for which the Council may become liable due to any failure by the Service Provider or its employees or agents to comply with any of its obligations under this Agreement.

6.2 The Service Provider shall take out insurance sufficient to cover any payment that may be required under clause 6.1 and produce the policy and receipt for premium paid, to the Council on request.

7. DURATION

7.1 This agreement shall commence on its date of signing below and shall continue for the duration of the Agreement, including any extension given under it, subject to the parties' rights of termination below and in the Agreement. It shall automatically terminate on completion or termination of the Agreement.

8. APPOINTMENT OF SUBCONTRACTORS

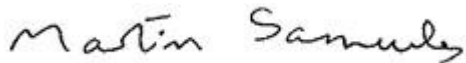
8.1 The Service Provider may only authorise a third party (**sub-contractor**) to process the Personal Data:

- (a) subject to the Council's prior written consent where the Service Provider has supplied the Council with full details of such sub-contractor;
- (b) provided that the sub-contractor's contract contains terms which are substantially the same as those set out in this Agreement; and
- (c) provided that the Service Provider ensures that there are robust monitoring arrangements in place in relation to the processing of Personal Data and Special Category Personal Data by the sub-contractor to the reasonable satisfaction of the Council; and
- (d) provided that the sub-contractor's contract terminates automatically on termination of this Agreement and any Council data is promptly returned to the Service Provider.

9. THIS AGREEMENT IS EFFECTIVE FROM THE COUNCIL'S SIGNATORY DATE BELOW FOR THE DURATION OF THE AGREEMENT IT SUPPORTS

9.1 SIGNATORIES:

This Agreement was signed on the date shown below.



Martin Samuels
Strategic Director-Social Care & Education
Information Asset Owner
Leicester City Council
Authorised Signatory

Date: 04.09.20

Signed on behalf of the Childcare Provider:

Name of Authorised Signatory: _____
Position: _____
Business Name: _____

Scan and return the signed form by email to: eedteam@leicester.gov.uk